



ANTI-MONEY LAUNDERING (AML) COMPLIANCE MASTERCLASS

Protection Against the Risks of Money
Laundering and Terrorist Financing

FEES

Local Fees: K40,000 including a brand new laptop

International Fees: \$ 2,000 including a brand new laptop

DATES: 24 - 28 JUNE 2024

**AN INTENSIVE 5-DAY TRAINING
COURSE**

VENUE

LE ELEMENTOS BOUTIQUE
HOTEL LUSAKA ZAMBIA

REGISTER NOW!



COURSE INTRODUCTION

This **Anti-Money Laundering (AML) Compliance Masterclass** is designed to develop the essential skills necessary to enable you to understand how to support your company in Anti-Money Laundering and countering terrorist finance and how to prevent, detect, and report money laundering activities.

This course covers regulatory environment and focus on the importance of Know your Customer (KYC), the importance of Customer Due Diligence (CDD), sanctions monitoring and the role of the Money Laundering Reporting Officer in practical terms. You will learn about studying the AML risks involved in emerging industry sectors.

This is an intensive and highly practical training program qualifying you to become proficient in the Anti-Money Laundering process and the laws that make it a crime. It will raise awareness of financial crime risks, global laws and regulations, laws and regulations applicable to your jurisdiction, and the systems and controls aimed at detecting and preventing financial criminal acts.

This Vision Africa Events training course also covers several topics regarding the nature of the services and products offered by the financial services industry (namely *managing, controlling and possessing money and property belonging to others*) means that it is vulnerable to abuse by money launderers and the aspects of money laundering and initiatives undertaken to combat terrorism including regulations that govern financial institutions.



OBJECTIVES

By the end of this training course, you will be able to understand:

- The process of Money Laundering
- Regulations applicable to firms / banks
- FATF roles in Anti-Money Laundering
- The penalties for non-compliance with AML legislation
- How Anti-Money Laundering and Countering Terrorist Financing (CTF) might be prevented?
- How to define the ways in which a Firm / Bank and its staff should protect themselves against the risks of Money Laundering and Terrorist Financing?
- Due diligence measures to deter money laundering and terrorist financing
- The "red flags" might help you to identify, prevent and report any (suspicious or actual) criminal activity
- The implications of offshore financial center on ML

Training Methodology

Participants to this training course will receive an overview of the best practices of competing Anti-Money Laundering and terrorist finance. The training methodology includes case studies, group live instruction, presentation and ongoing discussion of worked examples, videos, group discussion and interactive participation is encouraged.

ORGANIZATIONAL IMPACT

This training course directly relates to Anti-Money Laundering (AML), benefiting organizations significantly through employee participation. The organization will experience:

- Improved assistance for the Anti-Money Laundering (AML) team
- Increased relevance and reliability in regulatory analysis
- Enhanced strategic thinking and performance focus
- Improved detection of high-risk indicators
- Staff readiness for heightened responsibilities

WHO SHOULD ATTEND?

This training course is tailored to equip individuals with the latest policies and procedures. It is beneficial for:

- Staff with specific anti-money laundering responsibilities
- Anti-Money Laundering (AML) / Compliance Professionals
- Senior Management
- All financial services staff seeking an introduction to anti-money laundering
- Those in regulated financial sectors interested in anti-money laundering or fraud prevention careers
- Consultants
- Treasury Managers
- Auditors
- Legal professionals
- Regulators or Advisors of Products/Services
- Credit Managers



PERSONAL IMPACT

This training course will be of personal benefit to delegates by providing you with:

- Understanding Money Laundering, Terrorist Financing and Sanctions
- Vulnerabilities of financial institutions to money laundering and terrorist financing
- Anti-money laundering and combating terrorist financing – *legal and regulatory structures*
- Management obligations and the risk-based approach to money laundering and terrorist financing
- Greater awareness of industry practices, problems and solutions
- Increased understanding and assurance to complete tasks successfully
- Increased self-confidence and motivation at work
- Greater job satisfaction in providing quality support to management
- Greater ability to supervise, develop and motivate colleagues
- The structure of the Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF) regime in your jurisdiction
- Your fit into the Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF) regime
- Designated remittance service arrangements and correspondent banking
- Reporting of certain transactions; and record keeping
- Consequences to you for not complying with your obligations

COURSE OUTLINE

Day 1 Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)

- Why the Current Focus on Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF)?
- Money Laundering & the Financing of Terrorism Definitions
- Differences and Similarities between Money Laundering and Terrorist Financing
- Key Stages of Money Laundering
- Typologies & Techniques of Money Laundering
- Indicators of Money Laundering
- Products and Services Vulnerable to Money Laundering or Terrorism Financing
- Money Laundering Trends in (Jurisdiction)

Day 2 The International Organizations of Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF)

- The International bodies' response to Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)
- The International Measures to Prevent Money Laundering and Financing of Terrorism
- The Complex and Interrelated Risks with Respect to Money Laundering and Sanctions
- FATF and Its Roles of Anti-Money Laundering (AML)
- Customer Policies and Procedures
- International Legislation and Legislation Applicable to Your Jurisdiction
- The Wider Context for Financial Crime Risk and Regulation in which Your Firm Operates
- The Role and Responsibilities of (*Front / Back Office / AML / Compliance Officer*), and How these fit within the financial crime risk-management life cycle?

Day 3 Compliance Strategies

- Jurisdiction Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)
- Laws and Regulations
- The Requirements for Robust Anti-Money Laundering Operations, Internal Controls, Procedures and Policies
- Cooperation with the Authorities and the Regulators
- Know Your Customer (KYC) and ID&V Rules
- Tipping-off
- Market Abuse and Insider Dealing
- Client Relations and Human Resources Strategies
- The Relevant Laws and Regulations in Your Jurisdiction, The International Standards and The Role of Law Enforcement



Day 4 Recognizing and Reporting Suspicious Transactions

- Statutory Obligations
- Identifying Suspicious Transactions
- Internal and External Reporting of Suspicious Transactions
- Identifying High-risk Indicators - *Understand what to do with the information and whom to escalate it to*
- The Role of the MLRO
- The Importance of Record Keeping
- Responsibilities of Firms / Banks and Individuals
- Setting-up Monitoring Procedures

Day 5 Money Laundering Detection Techniques

- Prevention, Detection and Due Diligence
- Early-Warning Mechanisms
- Designing Money Laundering and Terrorist Financing Risk Assessments
- Applying Know Your Customer (KYC) & Customer Due Diligence (CDD)
- Risk Management of Politically Exposed Persons (PEPs)
- Screening
- Best Practices Applicable to Firms / Banks
- Sanctions

CERTIFICATE

Delegates who successfully finish the training course will receive a Vision Africa Events Certificate.



BOOKING FORM

US\$ 2,000.00

PER DELEGATE EXCLUDING VAT,
TRAVEL & ACCOMMODATION

***To attend this event, payment has to be made before the 21ST JUNE, 2024**

For discounts on group bookings, please email us at info@visionafricaevents.com or call K.Lembela (training@visionafricaevents.com) at +260 978 758 724

CONTACT INFORMATION OF AUTHORIZED SIGNATORY

(Signatory must be authorized to sign on behalf of the company)

Company:
Address:
Contact Person:
Designation: Date:

Tel:
Cell:
Fax:
Email:
Company VAT #:
Authorized Signatory:

DELEGATE LIST

Delegate 1:
Position:
email:
Delegate 2:
Position:
email:
Delegate 3:
Position:
email:
Delegate 4:
Position:
email:
Delegate 5:
Position:
email:

TERMS & CONDITIONS:

(The following terms and conditions will apply)

- Payment is required in full 5 days from date of invoice
- All payments to made directly to Vision Africa Events
- No seats will be reserved, unless Vision Africa Events receives a signed registration form
- Vision Africa Events reserves the right to change speakers, programmer content, date & venue due to circumstance beyond our control.
- The signed booking/registration form is a legally binding contract.

Cancellations

All cancellations will be subject to approval by the management of Vision Africa Events. All cancellations made 21 days prior to the date of the booked event will be subject to a 75% cancellations fee. Cancellations made 21 days before the booked event will be subject to 100% cancellation fee. There will be no refunds on Credit Card payments.

PAYMENT METHODS



Bank Transfer:

Atlas Mara Bank Limited, Zambia
Plaza, church road, Lusaka
Swift code: FMBZZMLX, Sort Code: 20044
Branch code: 301
ZMK ACCOUNT: 3015882912011
DOLLAR ACCOUNT: 3015882912922

Cheques: Made payable to Vision Africa Events

**Credit Card: Please fill in your credit Card details below
(Please note that ONLY VISA & MASTERCARD ARE ACCEPTABLE)**

Credit card Holder's Name:

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Expiry date: 20

Credit Card # :

CVC #:

Card Holder Signature:

SUBSTITUTIONS

- Delegates must inform Vision Africa Events in writing of any substitutions
- Vision Africa Events will NOT charge for substitutions
- Vision Africa Events will NOT be held liable for incorrect Delegate details on Certificates, etc. in the event of substitutions being made on the day of the event or conference.
- In the event of Vision Africa Events having to cancel or postpone an event due to circumstance beyond our control, delegates will be issued with a credit voucher, which may be redeemed for future events or conferences.

Vision Africa Events will not be held liable for travel and accommodation costs outside the conference dates. The event fees include: Seminar / Conference Materials, Lunch, refreshments, but excludes travel & accommodation. Call the events team for help with recommended hotels for the event.